

Generalized Weyl Algebras and their representations

Jonathan Nilsson

Linköping University

March 27, 2025

Based on current work with Samuel Lopes (Universidade do Porto).

Definition

Let R be a ring, $a \in Z(R)$ a central element, and $\sigma \in \text{Aut}(R)$ a ring automorphism. The corresponding **Generalized Weyl Algebra** $R(\sigma, a)$ is generated by R and two variables x and y with relations

$$xr = \sigma^{-1}(r)x \quad yr = \sigma(r)y \quad xy = a \quad yx = \sigma(a)$$

Realizing various algebras as GWAs

Algebra $R(\sigma, a)$	Ring R	Automorphism σ	Element a
Classical Weyl Algebra	$k[h]$	$\sigma(h) = h - 1$	h
Quantum torus	$k[t, t^{-1}]$	$\sigma(t) = qt$	t
Quantum Weyl Algebra	$k[h]$	$\sigma(h) = qh - 1$	h
$U(\mathfrak{sl}_2)$ /central action	$\mathbb{C}[h]$	$\sigma(h) = h - 2$	$\frac{1}{4}h(h + 2)$
Smith algebras/central action	$k[h]$	$\sigma(h) = h - 1$	$p(h) \in k[h]$

Weight modules for GWAs

Assume from now on that R is a UFD. Let $A = R(\sigma, a)$ be a GWA and let V be an A -module. For each maximal ideal $\mathfrak{m} \subset R$ we define the corresponding **weight space**:

$$V_{\mathfrak{m}} = \{v \in V \mid \mathfrak{m}v = 0\}.$$

Weight modules for GWAs

Assume from now on that R is a UFD. Let $A = R(\sigma, a)$ be a GWA and let V be an A -module. For each maximal ideal $\mathfrak{m} \subset R$ we define the corresponding **weight space**:

$$V_{\mathfrak{m}} = \{v \in V \mid \mathfrak{m}v = 0\}.$$

The **support** of V is the set of weights: $\text{supp}(V) = \{\mathfrak{m} \in \text{Max}(R) \mid V_{\mathfrak{m}} \neq \{0\}\}.$

Weight modules for GWAs

Assume from now on that R is a UFD. Let $A = R(\sigma, a)$ be a GWA and let V be an A -module. For each maximal ideal $\mathfrak{m} \subset R$ we define the corresponding **weight space**:

$$V_{\mathfrak{m}} = \{v \in V \mid \mathfrak{m}v = 0\}.$$

The **support** of V is the set of weights: $\text{supp}(V) = \{\mathfrak{m} \in \text{Max}(R) \mid V_{\mathfrak{m}} \neq \{0\}\}$.

V is called a **weight module** if $V = \bigoplus_{\mathfrak{m} \in \text{supp}(V)} V_{\mathfrak{m}}$.

Weight modules for GWAs

Assume from now on that R is a UFD. Let $A = R(\sigma, a)$ be a GWA and let V be an A -module. For each maximal ideal $\mathfrak{m} \subset R$ we define the corresponding **weight space**:

$$V_{\mathfrak{m}} = \{v \in V \mid \mathfrak{m}v = 0\}.$$

The **support** of V is the set of weights: $\text{supp}(V) = \{\mathfrak{m} \in \text{Max}(R) \mid V_{\mathfrak{m}} \neq \{0\}\}.$

V is called a **weight module** if $V = \bigoplus_{\mathfrak{m} \in \text{supp}(V)} V_{\mathfrak{m}}.$

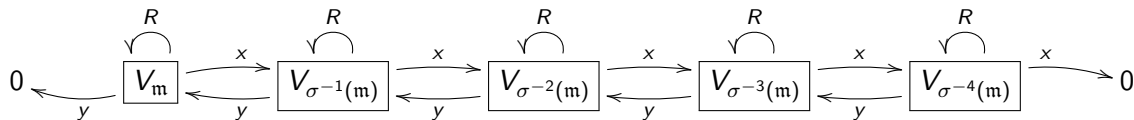
Definition

For $\mathfrak{m} \in \text{Max}(R)$ Let $R_{\mathfrak{m}}$ be the $R[y]$ -module which is equal to R/\mathfrak{m} as an R -module, and where y acts as zero. Define the corresponding Verma module as the A -module

$$V(\mathfrak{m}) = R(\sigma, a) \otimes_{R[y]} R_{\mathfrak{m}}.$$

Then $V(\mathfrak{m})$ has a unique maximal submodule and a corresponding simple quotient $L(\mathfrak{m}).$

Visualization of a simple weight module $L(\mathfrak{m})$ with finite support:



Lifting free modules for Lie algebras - previous work

For a Lie algebra \mathfrak{g} with Cartan subalgebra \mathfrak{h} , consider the following subcategory of $U(\mathfrak{g})\text{-Mod}$:

$$\mathcal{C}_n = \{M \in U(\mathfrak{g})\text{-Mod} \mid \text{Res}_{U(\mathfrak{h})}^{U(\mathfrak{g})} M \simeq U(\mathfrak{h})^{\oplus n}\}.$$

Lifting free modules for Lie algebras - previous work

For a Lie algebra \mathfrak{g} with Cartan subalgebra \mathfrak{h} , consider the following subcategory of $U(\mathfrak{g})\text{-Mod}$:

$$\mathcal{C}_n = \{M \in U(\mathfrak{g})\text{-Mod} \mid \text{Res}_{U(\mathfrak{h})}^{U(\mathfrak{g})} M \simeq U(\mathfrak{h})^{\oplus n}\}.$$

- Classification \mathcal{C}_1 modules for $\mathfrak{g} = \mathfrak{sl}_n$ (N. 2015)
- Classification of \mathcal{C}_1 modules for $\mathfrak{g} = \mathfrak{sp}_{2n}$ (N. 2016)
- Cartan-free modules do not exist for any other type of Lie algebras (N. 2016)
- Simple \mathfrak{sl}_2 -modules in \mathcal{C}_n for arbitrary rank n (F. Martin, C. Prieto 2017)
- Generalizations and extensions of \mathcal{C}_n for other types of algebras:
 - Virasoro algebras (G. Liu, K. Zhao)
 - Conformal algebras (Q. Xie et al.)
 - The Witt algebra (H. Tan, K. Zhao)
 - Algebras of differential operators (S. Gao et al.)
 - Heisenberg-Virasoro algebras (H. Chen, X. Guo)
 - Super Lie algebras (Y. Cai, K. Zhao)
 - Kac-Moody algebras (K. Zhao et al.)
 - Smith algebras (V. Futorny, S. Lopes, E. Mendonca)

Two category of modules for GWAs

Definition

For a GWA $R(\sigma, a)$, consider the full subcategories of $R(\sigma, a)\text{-Mod}$:

$$\mathfrak{C} = \{M \in R(\sigma, a)\text{-Mod} \mid M \text{ is finitely generated over } R\}.$$

This is an abelian category.

Two category of modules for GWAs

Definition

For a GWA $R(\sigma, a)$, consider the full subcategories of $R(\sigma, a)\text{-Mod}$:

$$\mathfrak{C} = \{M \in R(\sigma, a)\text{-Mod} \mid M \text{ is finitely generated over } R\}.$$

This is an abelian category. We define also $\mathfrak{C}_n \subset \mathfrak{C}$:

$$\mathfrak{C}_n = \{M \in R(\sigma, a)\text{-Mod} \mid M \simeq_R R^n\},$$

Definition

Let $R(\sigma, a)$ be a GWA. Let $p|a$ be a divisor, and define $q = \sigma(a/p)$. We define a corresponding $R(\sigma, a)$ -module V_p , which as a set (and R -module) is R and where the action is given by:

$$x \cdot r = \sigma^{-1}(r)p \quad \text{and} \quad y \cdot r = \sigma(r)q.$$

Definition

Let $R(\sigma, a)$ be a GWA. Let $p|a$ be a divisor, and define $q = \sigma(a/p)$. We define a corresponding $R(\sigma, a)$ -module V_p , which as a set (and R -module) is R and where the action is given by:

$$x \cdot r = \sigma^{-1}(r)p \quad \text{and} \quad y \cdot r = \sigma(r)q.$$

Then any module in \mathfrak{C}_1 is isomorphic to some V_p , and $V_p \not\cong V_{p'}$ unless p and p' are associates.

From now on, let R be a principal ideal domain.

From now on, let R be a principal ideal domain.

Let W be a submodule of the $R(\sigma, a)$ -module V_p . Since R is a PID we have $W = \langle g \rangle$, and the submodules form a lattice. The GWA relations force $g | \sigma^{-1}(g)p$ and $g | \sigma(g)q$.

From now on, let R be a principal ideal domain.

Let W be a submodule of the $R(\sigma, a)$ -module V_p . Since R is a PID we have $W = \langle g \rangle$, and the submodules form a lattice. The GWA relations force $g | \sigma^{-1}(g)p$ and $g | \sigma(g)q$.

So if $g = \prod g_i$ is a complete factorization, we get

$$g_i | \sigma^{-1}(g) \text{ or } g_i | p$$

and

$$g_i | \sigma(g) \text{ or } g_i | q.$$

Write $\text{Irr}(R)$ for the set of equivalence classes of irreducible elements of R modulo associates.

Write $\text{Irr}(R)$ for the set of equivalence classes of irreducible elements of R modulo associates. $\langle \sigma \rangle$ acts on $\text{Irr}(R)$. Let Ω be the set of orbits under this action.

Write $\text{Irr}(R)$ for the set of equivalence classes of irreducible elements of R modulo associates.

$\langle \sigma \rangle$ acts on $\text{Irr}(R)$. Let Ω be the set of orbits under this action.

We can split elements of R according to σ -orbits. For $r \in R$ we have

$$r = \prod_{\omega \in \Omega} r_{\omega} \quad \text{where all irreducible factors of } r_{\omega} \text{ lies in } \omega.$$

Write $\text{Irr}(R)$ for the set of equivalence classes of irreducible elements of R modulo associates. $\langle \sigma \rangle$ acts on $\text{Irr}(R)$. Let Ω be the set of orbits under this action.

We can split elements of R according to σ -orbits. For $r \in R$ we have

$$r = \prod_{\omega \in \Omega} r_{\omega} \quad \text{where all irreducible factors of } r_{\omega} \text{ lies in } \omega.$$

Then $\langle g \rangle \subset V_p$ is a $R(\sigma, a)$ -submodule if and only if $\langle g_{\omega} \rangle \subset V_{p_{\omega}}$ is a $R(\sigma, a_{\omega})$ -submodule for each $\omega \in \Omega$.

Submodules - infinite orbit case

Assume that all factors of a lie in a single *infinite* σ -orbit.

Then the maximal submodules of V_p have form $W = \langle g \rangle$ where g is a chain-product

$$g = \prod_{i=0}^n \sigma^i(z)$$

where $z|q$ and $\sigma^n(z)|p$, and such that the factors in the middle of the chain divides neither p nor q .

Submodules - infinite orbit case

Assume that all factors of a lie in a single *infinite* σ -orbit.

Then the maximal submodules of V_p have form $W = \langle g \rangle$ where g is a chain-product

$$g = \prod_{i=0}^n \sigma^i(z)$$

where $z|q$ and $\sigma^n(z)|p$, and such that the factors in the middle of the chain divides neither p nor q .

We note that $W \simeq V_{p'}$ where $p' = \frac{p}{\sigma^n(z)} \sigma^{-1}(z)$.

σ
----->



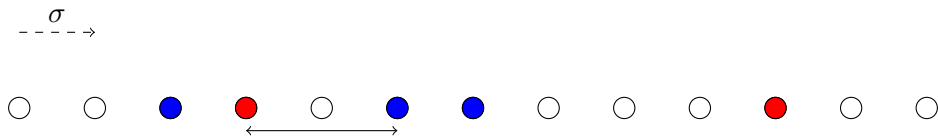
factors of a

σ
----->



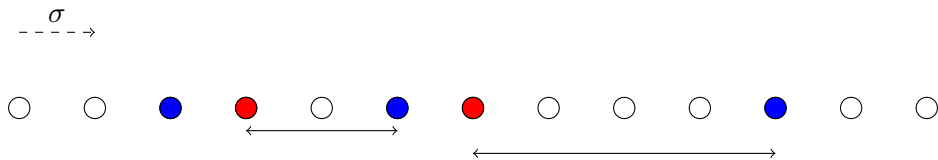
factors of p

factors of $\sigma^{-1}(q)$



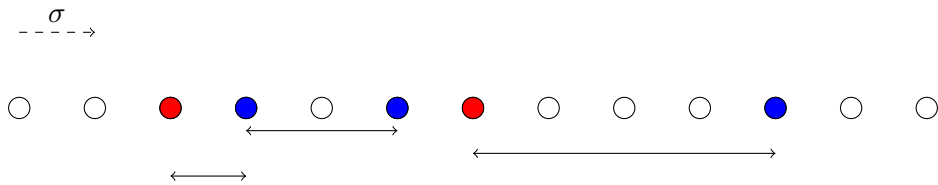
factors of p

factors of $\sigma^{-1}(q)$



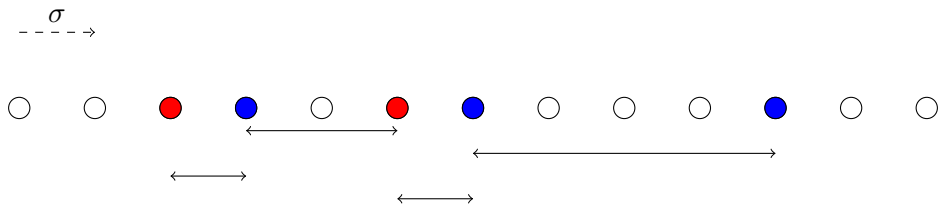
factors of p

factors of $\sigma^{-1}(q)$



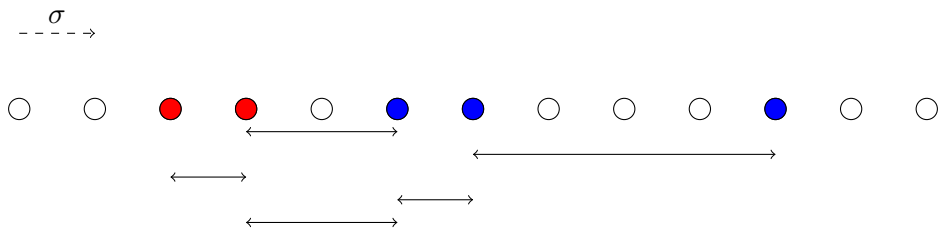
factors of p

factors of $\sigma^{-1}(q)$



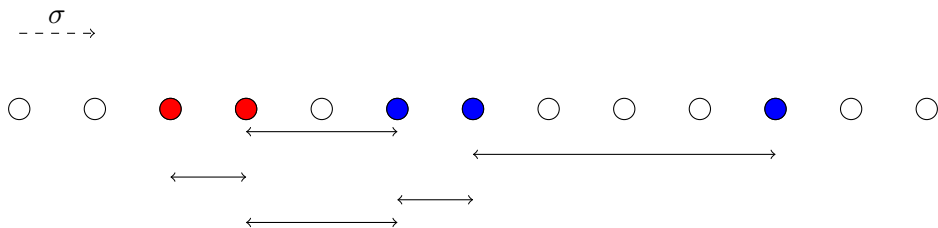
factors of p

factors of $\sigma^{-1}(q)$



factors of p

factors of $\sigma^{-1}(q)$



factors of p

factors of $\sigma^{-1}(q)$

Assume that σ -orbits are infinite. Let $\langle g \rangle$ be a maximal submodule of V_p , with $g = \prod_{i=0}^n \sigma^i(z)$ and $z|q$ and $\sigma^n(z)|p$.

As R -modules we have

$$V_p/\langle g \rangle \simeq R/\langle z \rangle \oplus R/\langle \sigma(z) \rangle \oplus \cdots \oplus R/\langle \sigma^n(z) \rangle,$$

Assume that σ -orbits are infinite. Let $\langle g \rangle$ be a maximal submodule of V_p , with $g = \prod_{i=0}^n \sigma^i(z)$ and $z|q$ and $\sigma^n(z)|p$.

As R -modules we have

$$V_p/\langle g \rangle \simeq R/\langle z \rangle \oplus R/\langle \sigma(z) \rangle \oplus \cdots \oplus R/\langle \sigma^n(z) \rangle,$$

This is in fact isomorphic to the simple *weight module* $L(\mathfrak{m})$ for $\mathfrak{m} = \langle \sigma^n(z) \rangle$.

Length in infinite orbits case

The length of the module V_p is the *number of flips* in our diagram. When a is square free this is equal to the number of pairs (p_i, q_i) of irreducible factors of p and q respectively where $p_i \in \sigma^{\mathbb{N}}(q_i)$.

Length in infinite orbits case

The length of the module V_p is the *number of flips* in our diagram. When a is square free this is equal to the number of pairs (p_i, q_i) of irreducible factors of p and q respectively where $p_i \in \sigma^{\mathbb{N}}(q_i)$.

We get a bound

$$\text{len}(V_p) \leq \left(\frac{\deg(a)}{2}\right)^2 + 1$$

and \mathfrak{C}_1 a finite length category.

Grothendieck group

Definition

Recall that Grothendieck group $K_0(\mathfrak{C})$ is the abelian group generated by iso-classes of modules in \mathfrak{C} with relations

$$[A] + [C] = [B] \quad \text{for each short exact sequence} \quad 0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0.$$

Grothendieck group

Definition

Recall that Grothendieck group $K_0(\mathfrak{C})$ is the abelian group generated by iso-classes of modules in \mathfrak{C} with relations

$$[A] + [C] = [B] \quad \text{for each short exact sequence} \quad 0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0.$$

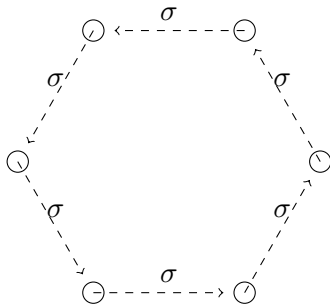
In the Grothendieck group, each module is the formal sum of its simple composition factors.

Theorem

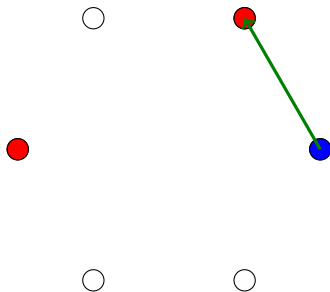
Assume that all σ -orbits are infinite. Then in $K_0(\mathfrak{C})$ we have

$$[V_p] = [\text{soc}(V_p)] + \sum_{a \in \mathfrak{m}} n_{\mathfrak{m}} L(\mathfrak{m})$$

where the occurring $L(\mathfrak{m})$ have finite support and the coefficients $n_{\mathfrak{m}} \in \mathbb{N}_0$ can be expressed combinatorially.

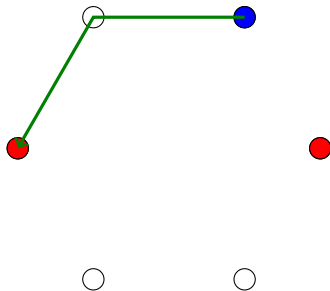


;



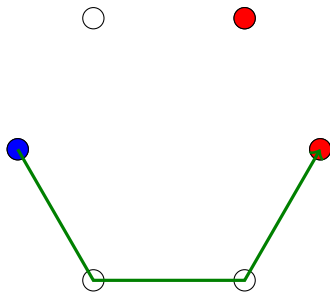
factors of p
factors of $\sigma^{-1}(q)$

;



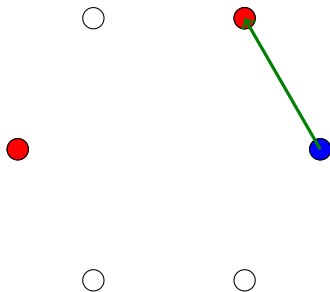
factors of p
 factors of $\sigma^{-1}(q)$

;



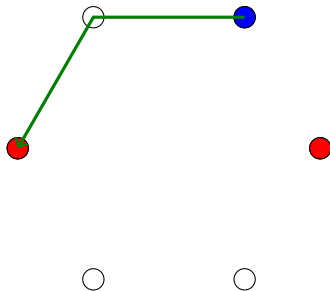
factors of p
 factors of $\sigma^{-1}(q)$

;



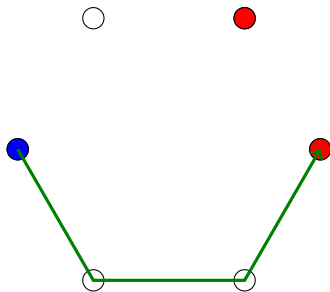
factors of p
 factors of $\sigma^{-1}(q)$

;



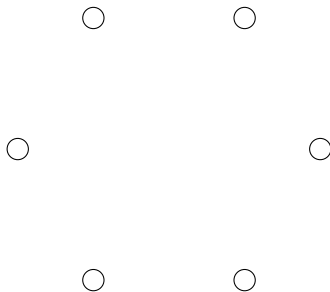
factors of p
 factors of $\sigma^{-1}(q)$

;



factors of p
 factors of $\sigma^{-1}(q)$

;



factors of p
factors of $\sigma^{-1}(q)$

So in this case we get an infinite 3-periodic composition series

$$\cdots \subset V_p \subset V_{p''} \subset V_{p'} \subset V_p \subset V_{p''} \subset V_{p'} \subset V_p$$

Example

For a fixed $b \in \mathbb{C}$, let $R = \mathbb{C}[h]$, $\sigma(f(h)) = f(h + 2)$, and $a = -\frac{1}{4}(h - b)(h + b - 2)$.

Example

For a fixed $b \in \mathbb{C}$, let $R = \mathbb{C}[h]$, $\sigma(f(h)) = f(h+2)$, and $a = -\frac{1}{4}(h-b)(h+b-2)$. Then the GWA $A = R(\sigma, a)$ is isomorphic to $U(\mathfrak{sl}_2)/\langle \theta - b(b-2) \rangle$.

Example

For a fixed $b \in \mathbb{C}$, let $R = \mathbb{C}[h]$, $\sigma(f(h)) = f(h+2)$, and $a = -\frac{1}{4}(h-b)(h+b-2)$. Then the GWA $A = R(\sigma, a)$ is isomorphic to $U(\mathfrak{sl}_2)/\langle \theta - b(b-2) \rangle$. Since a has two irreducible factors there are essentially 4 modules (up to unit twists):

$$\{V_{(1)}, V_{(h-b)(h+b-2)}, V_{(h-b)}, V_{(h+b-2)}\}.$$

Example

For a fixed $b \in \mathbb{C}$, let $R = \mathbb{C}[h]$, $\sigma(f(h)) = f(h+2)$, and $a = -\frac{1}{4}(h-b)(h+b-2)$. Then the GWA $A = R(\sigma, a)$ is isomorphic to $U(\mathfrak{sl}_2)/\langle \theta - b(b-2) \rangle$. Since a has two irreducible factors there are essentially 4 modules (up to unit twists):

$$\{V_{(1)}, V_{(h-b)(h+b-2)}, V_{(h-b)}, V_{(h+b-2)}\}.$$

Their submodule structure depends on the σ -orbits, and we note that

$$\sigma^k(h-b) = h+b-2 \Leftrightarrow h-b+2k = h+b-2 \Leftrightarrow k = b-1$$

Example

For a fixed $b \in \mathbb{C}$, let $R = \mathbb{C}[h]$, $\sigma(f(h)) = f(h+2)$, and $a = -\frac{1}{4}(h-b)(h+b-2)$. Then the GWA $A = R(\sigma, a)$ is isomorphic to $U(\mathfrak{sl}_2)/\langle \theta - b(b-2) \rangle$. Since a has two irreducible factors there are essentially 4 modules (up to unit twists):

$$\{V_{(1)}, V_{(h-b)(h+b-2)}, V_{(h-b)}, V_{(h+b-2)}\}.$$

Their submodule structure depends on the σ -orbits, and we note that

$$\sigma^k(h-b) = h+b-2 \Leftrightarrow h-b+2k = h+b-2 \Leftrightarrow k = b-1$$

So all four modules are simple unless $b \in \mathbb{Z}$, and for $b \in \mathbb{N}$ we have a composition series $\{0\} \subset V_{(h+b-2)} \subset V_{(h-b)}$ where the simple quotient is a b -dimensional simple weight module.

Thanks!